



# Technique of Patent Search and Analysis for Pharma-Innovations

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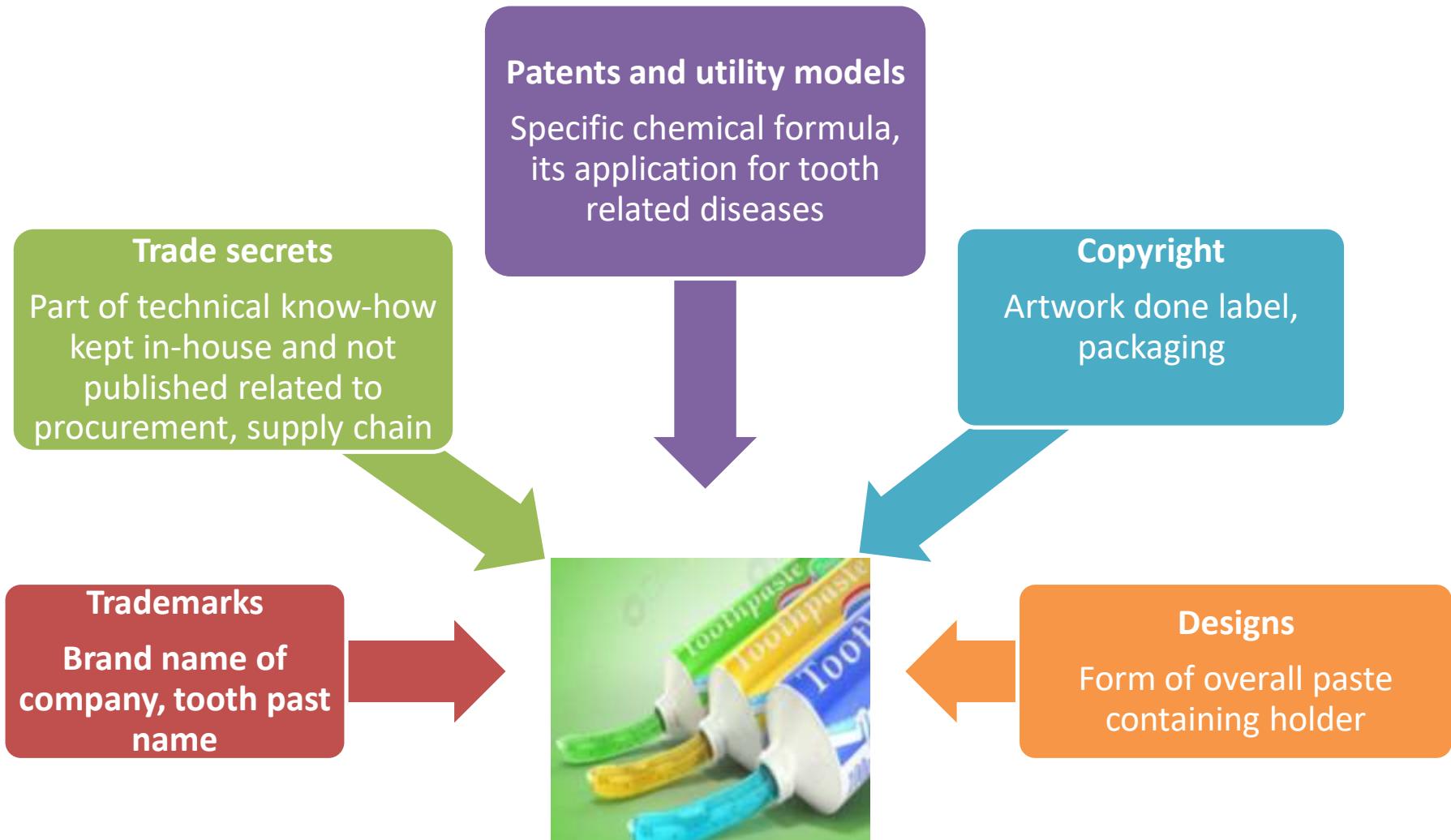
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# Overview about the talk

- Different forms of Intellectual properties
- Importance of Patent search
- Anatomy of Patent document
- Where to search for Patent information?
- Strategies for Patent Information Search
- Steps To Be Followed For Patent Search
- Types of patent search and case studies

# Forms of intellectual property



One product – Many IP rights

# Why to Perform Patent Search?



# Typical US Patent Document

**(12) United States Patent**  
Newton

**(16) Patent No.:** US 7,063,276 B2  
**(45) Date of Patent:** Jun. 20, 2006

**(54) SYSTEM FOR UNIFORM DISPERSAL OF AGRICULTURAL CHEMICALS**

**(73) Inventor:** Gary D. Newton, Eckley, CO (US)

**(73) Assignee:** Agri-Inject, Inc., Yuma, CO (US)

**(\*) Notice:** Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 196 days.

**(21) Appl. No.:** 10,006,790

**(22) Filed:** Mar. 23, 2004

**(65) Prior Publication Data**  
US 2005/0211902 A1 Sep. 29, 2005

**(51) Int. CL:**  
A61C 15/62 (2006.01)  
A01G 25/00 (2006.01)  
B05B 8/06 (2006.01)  
B05B 3/02 (2006.01)

**(52) U.S. CL:** 239/302; 239/146; 239/158; 239/159; 239/161; 239/163; 239/166

**(58) Field of Classification Search:** 239/302, 239/146, 158, 159, 161, 163, 166, 722, 726, 239/337

See application file for complete search history.

**(56) References Cited**

**U.S. PATENT DOCUMENTS**

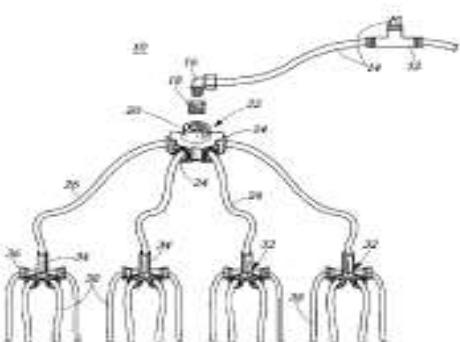
5,386,866 A \* 8/1971 Bolton ..... 239/8  
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6,310,461 A 11/1981 Hodge et al. ..... 239/116  
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**(10) Patent No.:** US 7,063,276 B2  
**(45) Date of Patent:** Jun. 20, 2006

**(57) ABSTRACT**

A system for uniformly dispensing agricultural chemicals in soil comprises a holding reservoir for the liquid agrochemicals, at least one multi-port uniform dispensing manifold or splitter, and a number of dispensing delivery tubes for dispensing the chemicals proximate openings or slits in the soil during various functions such as planting. The liquid agricultural chemicals, within the system, flow, under pressure, from the reservoir to the exit orifice of each delivery conduit. The multi-port, uniform liquid dispensing manifold passively equally and uniformly, divides the incoming fluid stream to provide separate, but substantially equal, divided fluid streams exiting the manifold to individual delivery conduits. The fluid stream flowing through the fluid inlet of the manifold, under pressure, impinges a planar surface, disposed at one end of the manifold inlet, substantially perpendicular to the fluid flow and proximate the manifold exit, such that the fluid stream is radially dispersed and uniformly divided among the exit ports of the manifold. Advantageously, the fluid exit ports which are radially disposed about the fluid inlet have a longitudinal axis substantially perpendicular to the fluid flow in the fluid inlet causing the direction of the exit flow to be substantially perpendicular to the fluid flow in the fluid inlet.

**26 Claims, 4 Drawing Sheets**



- 10 Document number:
- 12 Document type (Patent)
- 21 Application number
- 22 Date of application
- 45 Date of patent
- 51 IPC classification
- 52 National classification
- 54 Title of the invention
- 56 References:
- 57 Abstract
- 58 Field of search
- 65 Number and date of prior published data
- 71 Applicant
- 72 Inventors
- 73 Assignee
- 74 Patent attorney, agent or firm

# Patent Fields and Outputs

Fields	Actionable conclusion
<b>Name of assignee</b>	<ul style="list-style-type: none"><li>Potential partners, customers, licensees, acquisition candidates or organizations who are using the technology, competitor details</li></ul>
<b>Name of inventor</b>	<ul style="list-style-type: none"><li>Scientist working the invention</li></ul>
<b>Priority date, application date, publication date</b>	<ul style="list-style-type: none"><li>Date of the first filing from which one year priority period starts</li><li>Filing trends about the invention</li></ul>
<b>Legal status</b>	<ul style="list-style-type: none"><li>To know Patent has been granted or not, valid or expired</li></ul>
<b>Protection, filing, designated countries</b>	<ul style="list-style-type: none"><li>If the application is regional or international, the countries to which the rights may be extended; to know global market</li></ul>
<b>Citation and references</b>	<ul style="list-style-type: none"><li>References to related technology information uncovered by the applicant or by a Patent examiner during the Patent granting procedure</li></ul>
<b>Description</b>	<ul style="list-style-type: none"><li>Explanation of known existing technology, explanation about how the invention could be applied to address the problem in prior art, specific embodiments of the new technology</li></ul>
<b>Claims</b>	<ul style="list-style-type: none"><li>Legal boundary of the invention, unique technical features, supported by description</li></ul>

# Where The Patent Information Can Be Searched?

## Databases for Patent search

### Free databases (National Patent office)

[Patentscope](#), [USPTO](#), [ESPACENET](#), [INPASS](#)

### Free databases (private sector)

[Google patents](#), [Lens.org](#)

### Paid databases

[Orbit](#), [Derwent](#), [PatBase](#), [PatSeer](#), [STN](#), [SciFinder](#)

### Chemical structures/ Markush structures/ Chemical reaction

[PubChem](#), [Chemspider](#), [Reaxys](#), [STN](#), [SciFinder](#), [Patentscope](#), [SureChEMBL](#)

### Biosequences

[PubMed](#), [Orbit BioSequence](#), [STNNext](#), [Lens.org](#), [BLAST](#)®

### Traditional medicinal knowledge/herbal medicaments

[Traditional Knowledge Digital Library \(TKDL\)](#)

### Approved drug products

Approved Drug Products with Therapeutic Equivalence Evaluations (commonly known as the [Orange Book](#))

# Snippets of Patent Information Search Databases

**Derwent Innovation**

**Search fields**  
Create a search with your choice of fields and operators (AND, OR, NOT). Need help? Learn [query creation basics](#), or see details for specific fields in the selection menus

Claims

AND **OR** NOT IPC-Any  (B60C000100) OR (C08L000902) OR (C08L000906)

AND OR NOT Title/Abstract/Claims

AND OR NOT Publication Year  to

**Preview or edit query**

Edit your query here, or manually enter a search string. Click the Check syntax button to ensure it is correct before you run your search. [Need help?](#)

Create your search query above or type directly into this box

```
(CL=(styrene or butadiene or S-SBR or diene) OR IC=((B60C000100) OR (C08L000902) OR (C08L000906))) AND  
CTB=((tire* or tyre*) near75 (wet or slip* or ice or icy) near (traction or grip*)) AND PY>=(1999) AND  
PY<=(2019);
```

Syntax is correct! Click "Search" to run this query.

**Search**

# Strategies for Patent Information Search

## Keywords

- Synonyms, different representations of words, exclusion of homonyms by operators, truncations, nesting in title abstract , claims and description

## Classifications

- By using standardized classification system followed by Patent examiners for invention belonging same technological groups

## Names

- Assignee, Inventor, Agent, Examiner

## Numbers

- Application number, publication number, priority number, Patent grant no.

## Countries

- Filing country, protection country, designated country, priority application country

## Legal status

- Latest status of Patent /Patent application, rights associated with it like (in force/not, withdrawn, objected, lapsed, revoked), objection filed if any.

## Licensing details

- Licensing interest reported by assignee of Patent

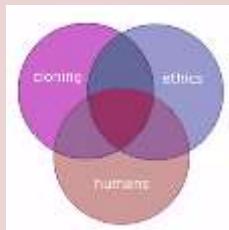
## Citation

- Cited documents (Forward and backward citations), Patent families

# Searching With Keywords: Operators

## AND

- Documents having both the word
- Narrow your results



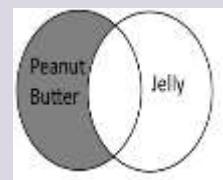
## OR

- Documents having either of the word
- To broaden your search



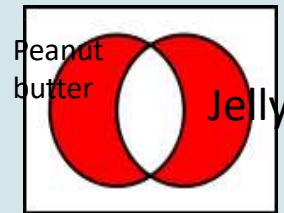
## ANDNOT

- Documents having first word but not second word
- Exclude words from your search



## XOR

- Documents having first word or second word but not both



## Proximity Operators: NEAR/W/ADJ

Used with a numerical to define the maximum distance between the search terms E.g. mouse NEAR<3 trap

the mousetrap is placed at a place where a mouse often runs out, bait for trapping the mouse is placed in the trap body, when the mouse treads on the other side of the seesaw, the seesaw rotates to incline towards the inner side of the trap body, the mouse enters the trap body, and

## Truncations: \*/+:Unlimited

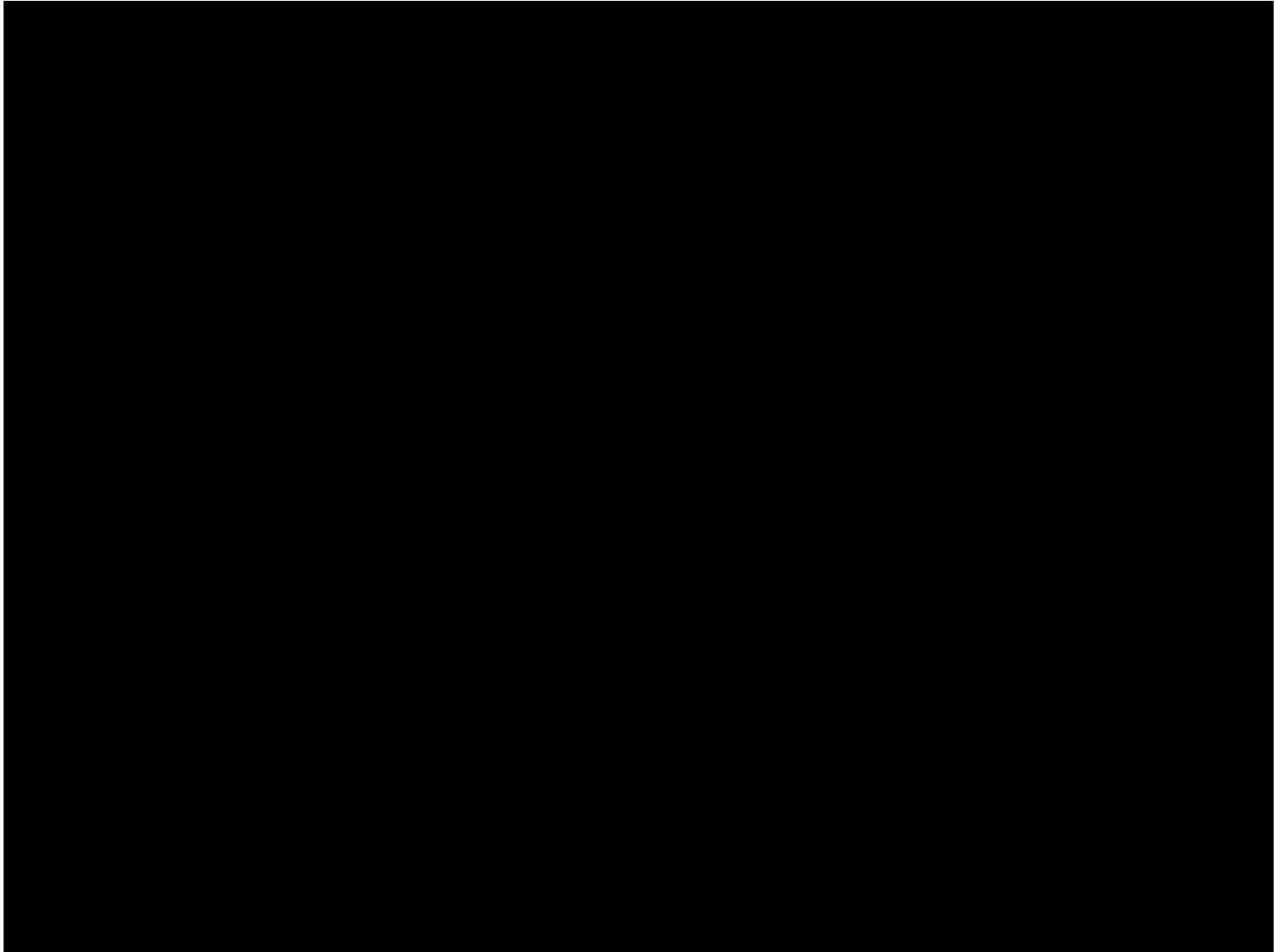
?: Replacing 0 or 1

#: Replacing exactly 1

E.g.. Fung\*= Fungus, Fungi, Fungal, Fungicidal, Fungible

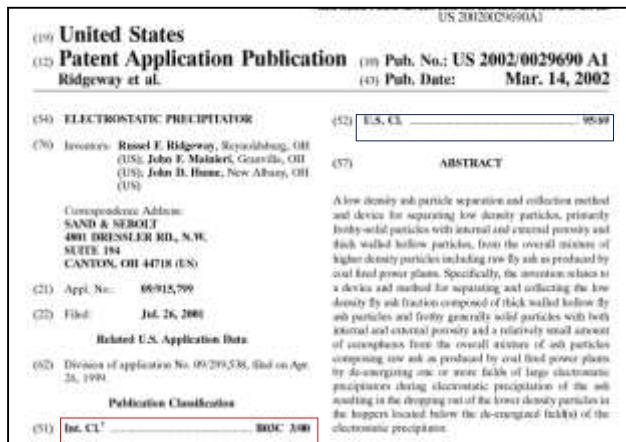
Alumin?m=Aluminium, aluminum

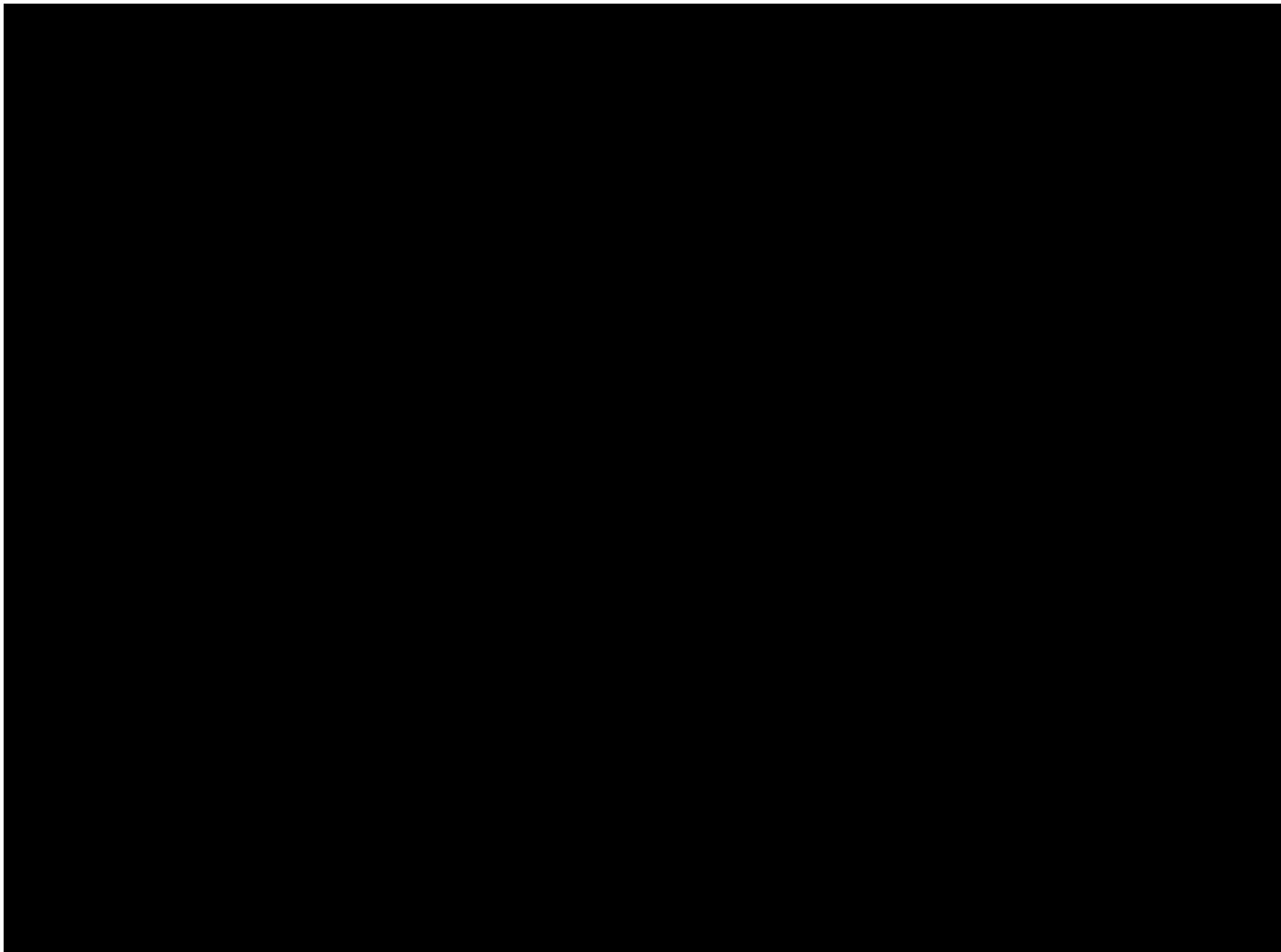
Med#cine=Medicine, Medecine



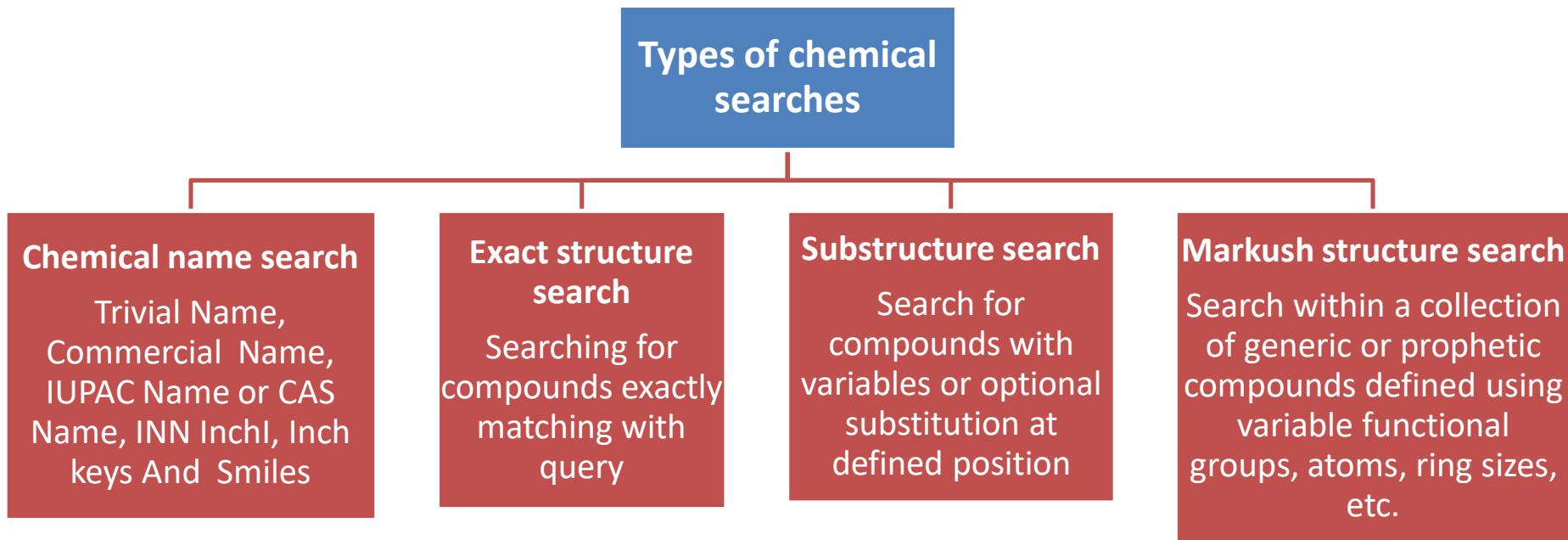
# Searching With Classification codes

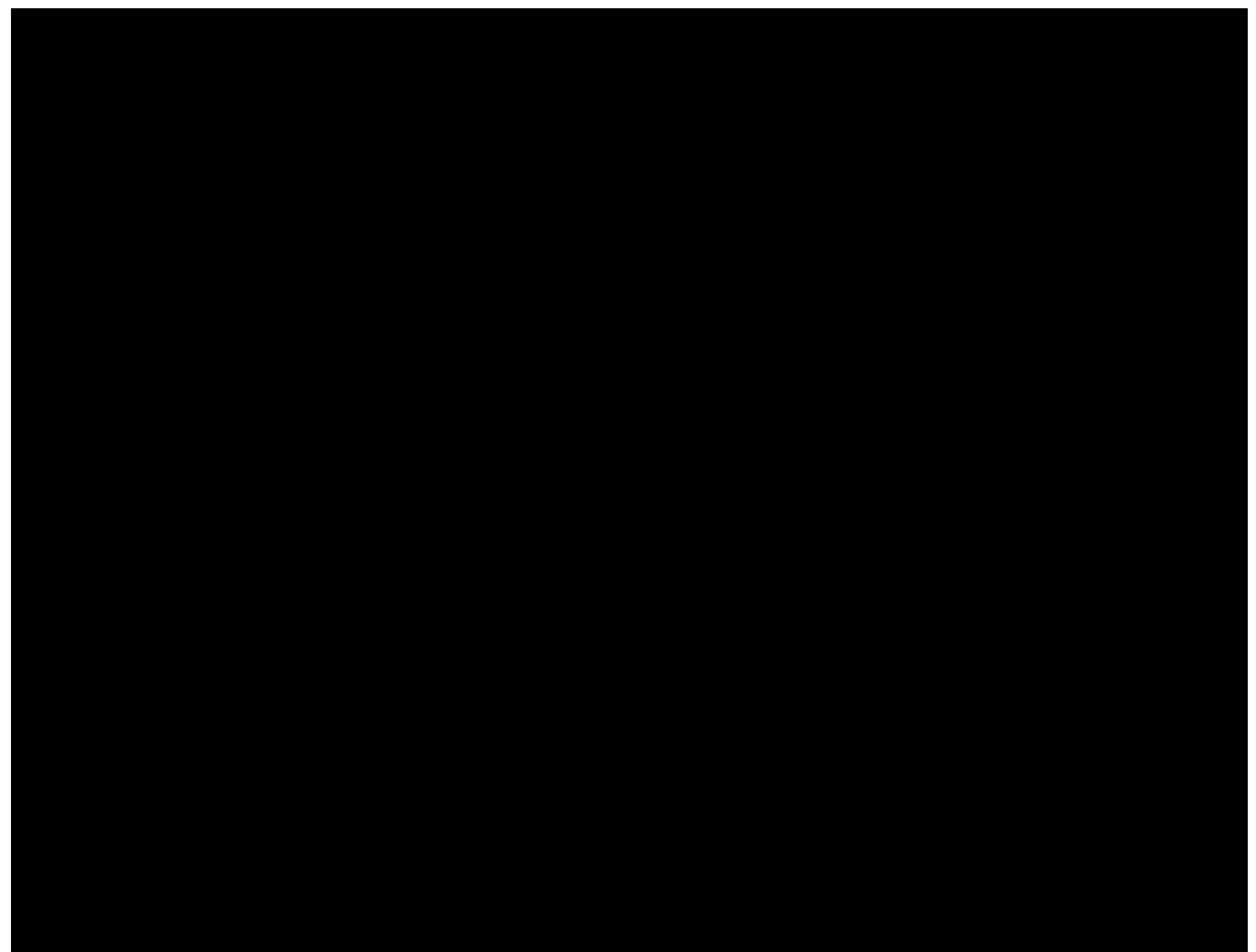
- Hierarchical classification system used primarily to classify and search Patent documents according to the technical fields to which they pertain
- International Patent Classification (IPC), Cooperative Patent Classification (CPC), United States Patent Classifications (USPC), European Classifications (ECLA), Japanese Classification Systems (F-Term and F-Index)



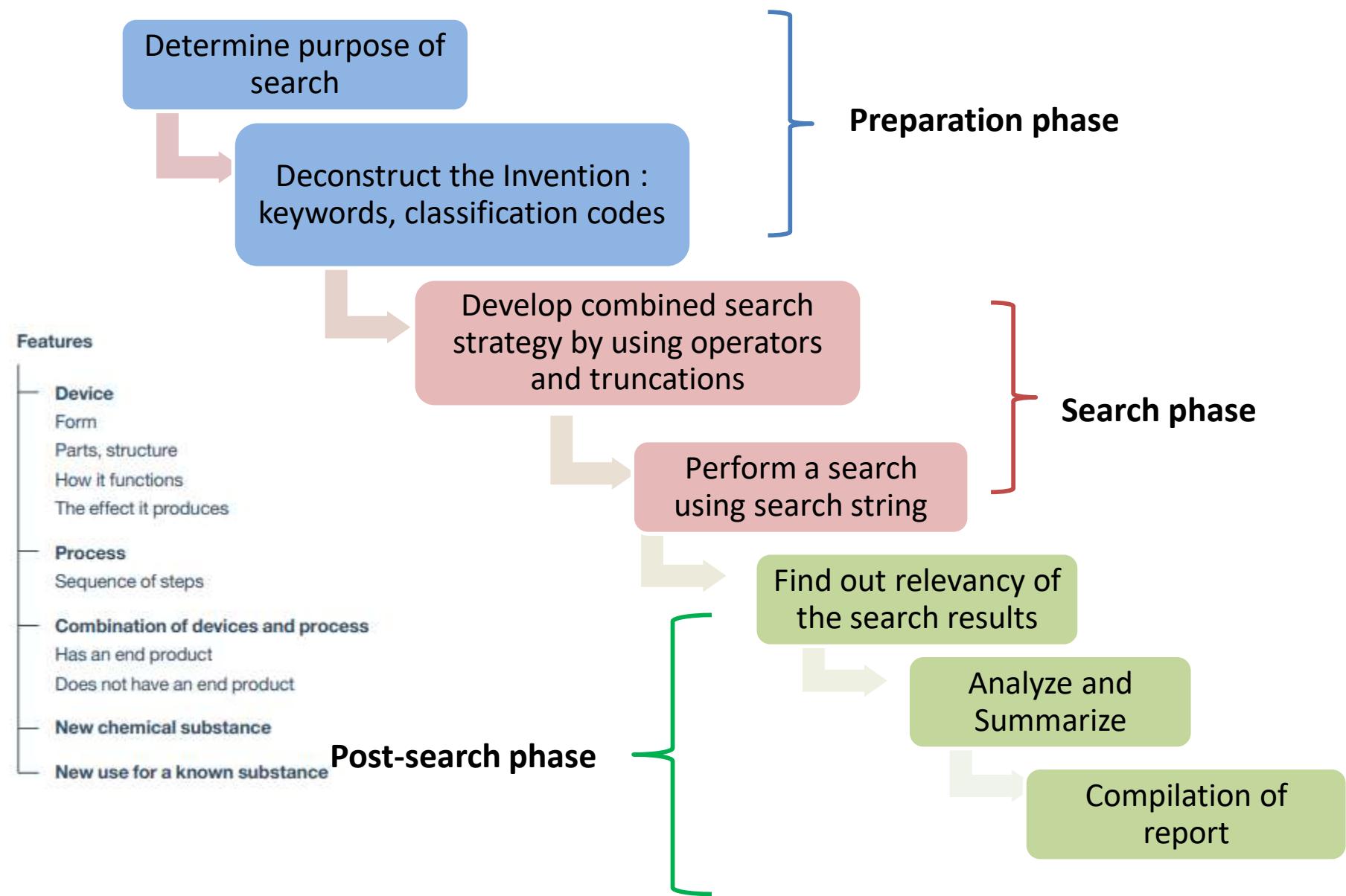


# Chemical structure search





# Steps To Be Followed For Patent Search

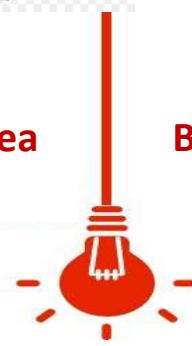


# Types of Patent Search Reports

## Discovery



New idea



Before sharing

- State of art report
- Competitor analysis report
- Patent landscape report

## Idea screen



## Development



12 months period  
from provisional to  
complete filing

## Testing and validation



New  
Idea

## Product



New  
Idea



- Freedom to operate report
- Validity/invalidity report
- Infringement analysis report

Patent search report follows the product development journey

# Patentability assessment report: Insights

## What is it?

Assessment of invention for its novelty, non-obviousness, industrial utility and patentable subject matter

## Insights obtained from it?

Patentability potential of invention, filing strategies for invention, possible prior art citations in first examination report, if any expansion of invention required to overrule the non-obviousness

## Whom will be benefited?

Researchers and innovators,  
Product developers,  
Applicants  
legal teams

## How to perform it?

Through search of patent and non-patent prior art in global databases, review of prior art, opinion of NUNS parameter for patentability

## Importance:

Easy identification or understanding of the state-of-the-art technology and thereby minimizing research time

Helps a product developer to be free from anticipated infringement suits

Helps an inventor to modify his ideas to be suitable for the patentability criteria

Helps applicant to decide whether to go with filing of patent application or to save the application fees

Helps as examiner to determine patentability of application and patentee to assess the strength of invention

Helps during drafting of claims in complete specification

**Limitations:** Fails to report unpublished documents as patent applications are not published until 18 months from filing

# Patentability Search: Case Study

## Invention:

An enzyme preparation for prophylaxis of infections caused by fungi, in particular oomycetes, and bacterial infections in crop and ornamental plants

- an aqueous solution of a single serine protease derived from Nocardiopsis sp., and
- one or more adhesive agents and/or one or more wetting agents and/or one or more rain stabilizers and/or one or more UV stabilizers
- pH ranging from 4.0 to 8.0, a concentration ranging from 0.001% to 1%.

	Questel orbit
Keywords	Enzyme preparation, bacteriolytic enzyme, prophylaxis , treatment composition, pathogenic, Adjuvants, preservatives or sterilants, adhesives, Infection, fungal, bacterial, lysis of pathogenic fungi, bactericidal or fungicidal, microbial contamination, serine protease, serine protease variants, subtilisin variants, proteolytic activity, proteinases
Search query	Enzyme OR ((bacteri*) W (enzyme?)) AND (treatment? OR prophylaxis) AND (Bacteric* OR fungic* OR pathogen* ) AND ((serine W (protease? OR variants?)) OR (subtilisin W variant?) OR (proteol* W activity) OR (proteinas*))
Classification Codes	A01P 1/00 , A01P 3/00, A01N 37/46, A01N 63/00, A01N 63/02
Results retrieved	PL:10,NPL: 20
Relevant results	PL:4 (D1: JPS5473182A , D2: : CN103461383A, D3:WO2012151480A2, D4:WO2009052344A2)

# Patentability Opinion: Case study

Enzyme composition comprising serine protease, glucanase and adjuvant materials such as stabilizer, surfactants etc.

D1, D2, D3, D4

an enzyme composition comprising serine protease along with other enzyme or adjunct materials

Enzyme composition derived from the genera Trichoderma and Bacillus for the prophylaxis and therapy of mycoses in fish and invertebrates

D5

Use of proteolytic enzyme, papain for inhibiting fungal or bacterial growth

D6

**Novelty:** So the subject matter lacks novelty w.r.t D1-D4

**Non-obviousness:** it would have been obvious for a person skilled in the art to arrive at the alleged invention by combining the disclosures of D1-D6 and common general knowledge regarding specifying particular amount/wt% of the components using routine experimentation

**Non patentable subject matter:** Claims pertains to a substance obtained by a mere admixture resulting only in the aggregation of the properties of the components and without having any demonstrated synergistic effect; hence not allowable u/s 3(e) of The Patents Act, 1970

# Indian Patents act,1970: Non-Patentable Subject Matter

- **Section 3 (d):** a new form of a known substance which does not result in the enhancement of the known efficacy of that substance or any new property or new use for a known substance or use of a known process, machine or apparatus unless such known process results in a new product or employs at least one new reactant.
- **Section 3(e):** a substance obtained by a mere admixture resulting only in the aggregation of the properties of the components thereof or a process for producing such substance
- **Section 3(f):** Mere arrangement or re-arrangement or duplication of known devices each functioning independently of one another in a known way;
- **Section 3 (i):** Any process for the medicinal, surgical, curative, prophylactic, diagnostic, therapeutic or other treatment of human beings or any process for a similar treatment of animals to render them free of disease or to increase their economic value or that of their products
- **Section 3(p):** Traditional knowledge or which is an aggregation or duplication of known properties of traditionally known component or components

# Patent Landscape report (PLR): Insights

## What is it?

a snapshot of the patent situation of a specific technology, either within a given country or region, or globally

## Insights obtained from it?

Patent filing trends, major companies and universities, key patents, emerging players, IP collaboration networks, exploited fields, uncrowded areas, in/ out licensing opportunities

## Whom will be benefited?

Researchers and innovators  
Corporates and business developers  
Public policy makers  
Human resource teams, legal teams

## How to perform it?

Search, review, and refine the subject matter, Review data, create categories and populate, Create charts/tables and visualizations

## Importance:

**To Researchers and innovators:** provides the current 'State-of-the-Art' , Provides knowledge about major technologies in the market

**To Businessmen:** helps to formulate plans and strategize for possible business ventures, acquisitions and mergers, compare their technology with competitors and asses the need of in/out licensing opportunities

**To Policymakers:** strategic decisions related to R&D investment, prioritization, technology transfer or local manufacturing, geographically more representative information to support key policy processes

**To human resource teams:** areas in infancy/maturity/ declining phase for management of resources

**To legal team:** identify any potential patent infringement.

**For White space analysis:** determine 'crowded' or 'open' a technological area

# Patent landscape: Case study

## A. Information from client

<b>Client request</b>	Patent landscape report on "Shampoo Compositions Comprising Gel Networks"
<b>Key questions to be answered</b>	<ul style="list-style-type: none"><li>• How are the technology trends?</li><li>• What are the leading markets to the formulation?</li><li>• What are the primary technologies and secondary technologies?</li><li>• What are the technologies in which top companies are focussing on?</li><li>• Which markets are favored by top players?</li><li>• Is there any assignee collaboration?</li></ul>

<b>Search instructions</b>	<ul style="list-style-type: none"><li>• The claim(s) and the example should contain gel network</li><li>• Components: Fatty alcohol (especially stearyl alcohol and cetyl alcohol), and anionic surfactant or cationic surfactant</li></ul>
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## B. Methodology of search

<b>Relevant keywords</b>	Hair preparation, hair rinses, Gel network, gel matrix, cetyl alcohol, stearyl alcohol, anionic surfactant, shampoo, hair
<b>Classification codes of Subject matter</b>	A61Q-005/02 , A61Q-005/12, C11D, C08L, A61K, A61P
<b>Databases used</b>	ESPACENET
<b>Search query</b>	((Gel Near1 (network OR matrix)) AND (shampoo OR rinse OR (hair NEAR wash)) AND ((cetyl OR stearyl) NEAR 1 alcohol) AND (surfactant)) filtering results for (A61Q-005 OR A61Q-005 OR C11D OR C08L OR A61K OR A61P)

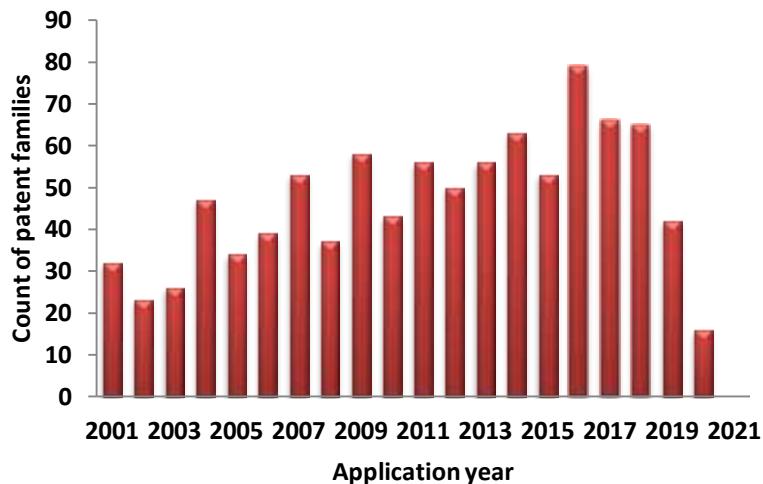
# Patent landscape: Case study

## Analysis and bucketing of result set

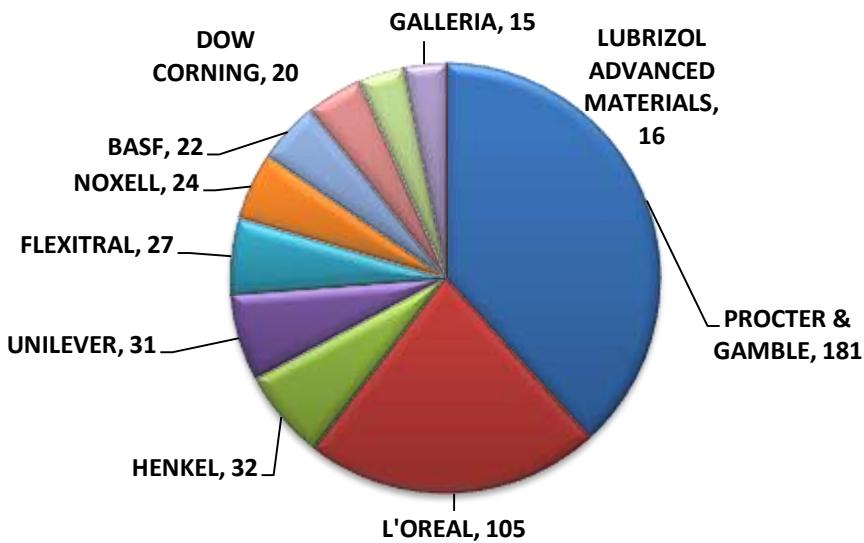
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Patent Number	Title	Abstract	Claim 1	Personal care Category	Claim focus	Gel network composition	Gel network claimed	Shampoo composition (Claimed)	Focus of Invention	Assignee	Inventor	Priority Date	Date of Publication	IPC Classes	Count			
WO2008101418A1	Shampoo containing a gel network	The compositions of the present invention relate to improved shampoo compositions having from 50 weight percent of a	1. A shampoo composition comprising: a) from about 5 to about 50 weight percent of a	Hair care	Composition/ Process/M&T/Method of use	1) fatty alcohol; Mixtures of ester and vinylal alcohol (10:80 to 80:20) preferred	A shampoo composition comprising at least about 50% weight percent of a fatty alcohol gel network (Claim 1) wherein said fatty alcohol gel network is formed using a cationic surfactant	1) Detergent surfactant (5-50% by weight); Anionic (5-50% preferably from about 8-30% mixtures of surfactants)	Hair cleansing shampoo containing a fatty alcohol gel network and method of use	PROCTER AND GAMBLE	ALLAN RYCE DOUGLAS; CHRISTINE RICCI NICOLE; LEIF WELLS ROBERT; NICOLE CHRISTINE RICCI; WELLS ROBERT	4/6/2002	11/12/2003	A61K8/00; A61K8/02; A61K8/04; A61K8/24; A61K8/40; A61K8/41; A61K8/44; A61K8/46; A61K8/48	1			
US20180098023A1	Personal care compositions substantially free of sulfated surfactants and surfactants includes a	A personal care composition substantially free of sulfated surfactants and surfactants includes a	1. A personal care composition substantially free of sulfated surfactants and surfactants comprising:	Hair care and other personal care	Composition/Process/M&T/Method of use	1) Fatty alcohol (1-10%); 2) Gel matrix surfactant; 3) Cationic surfactants; 4) Anionic surfactants	A personal care composition comprising: a dispersed gel network comprising: about 0.05% or more, by weight of the personal care composition, of one or more personal care compositions; and one or more dispersed gel network surfactants	1) A dispersed gel network (5-30% by weight of personal care formulation); 2) Anionic surfactants; 3) Cationic surfactants	Personal care compositions with dispersed gel network shampoos free of sulfated surfactants	PROCTER AND GAMBLE	HUTTON HOWARD; HUTTON II HOWARD DAVID	10/10/2016	12/4/2018	A61K8/04; A61K8/34; A61K8/44; A61K8/46; A61K8/60; A61K8/02; A61K8/47	1			
WO2013026153A1	Composition for hair frizz reduction	The present invention is directed a shampoo composition for hair frizz reduction comprising:	1. A shampoo composition for hair frizz reduction comprising: from 0.1% to 20% of a	Hair care	Composition / M&T	1) High melting point fatty compounds (0.1% to 20%); 2) A cationic surfactant	A shampoo composition according to any preceding claims wherein the shampoo composition further comprises a gel matrix comprising:	1) A moisture control material or mixture of moisture control materials (0.1-20%)	Shampoo composition for hair frizz reduction	PROCTER AND GAMBLE	MARSH JENNIFER MARY; PUNIYANI SUPRIYA; SONG BRIAN QIAODONG; YANG DISANYI; YIN YUQI	4/2/2015	6/6/2017	A61K8/34; A61K8/36; A61K8/37; A61K8/41; A61K8/67; A61K8/02; A61K8/08	1			
US20160175210A1	Method of inhibiting copper deposition on hair	A method of inhibiting copper deposition on hair and facilitating the removal of copper	1. A method of inhibiting copper deposition on hair and facilitating the removal of copper	Hair care	Composition/ M&T	1) Fatty alcohol (0.1-20%); 2) 0.5-14% manno-alcanes; alternatively 1-10% alternatively 5-8%;	A conditioner gel matrix comprising: a) from 0.1% to about 20% of one or more high melting point fatty compounds, by weight of the conditioner gel matrix	1) Detergent surfactants; Anionic, amphoteric and 2-aminoethane detergents; surfactants	Hair composition inhibiting copper deposition on hair and facilitating the removal of copper	PROCTER AND GAMBLE; NOXELL CORP	GRAHAM, NEIL MCKELVEY; JENNIFER MARY MARSH; MARSH JENNIFER MARY; MCKELVEY, GRAHAM NEIL	17/12/2014	21/6/2016	A61K8/02; A61K8/04; A61K8/22; A61K8/34; A61K8/36; A61K8/37; A61K8/41	1			
US264278882	Shampoo Composition Comprising Gel Matrix and Histidine	A shampoo composition having from about 0.025% to about 0.25% histidine, by weight of the	1. A shampoo composition comprising: a) anionic surfactant; b) from about 0.05% to about 0.2% histidine, by weight of the	Hair care	Composition/MOT	1) One or more fatty alcohols (0.1-20%); 2) one or more gel matrix surfactants (0.1-20%)	A gel matrix comprising: a) from about 0.1% to about 20% of one or more fatty alcohols, by weight of the gel matrix	1) Histidine (0.025-0.25%); 2) anionic surfactants; or unchanged, deodorant, adhesive, cosmetic, control	A shampoo composition that inhibits copper deposition onto hair	PROCTER AND GAMBLE	CASKEY PATRICK KELLY; HOWARD DAVID HUTTON III; HUTTON HOWARD DAVID II	25/4/2014	9/5/2017	A61K8/04; A61K8/34; A61K8/35; A61K8/42; A61K8/44; A61K8/46; A61K8/02; A61K8/09	1			
US2013009875A1	Shampoo containing a dendritic macromolecule and a gel network	A hair care composition comprising: 1) a cleaning phase comprising a cleaning anionic	1. A shampoo composition comprising: 1) a cleaning phase comprising a cleaning anionic	Hair care	Composition/ Method of manufacture	1) Fatty material; 2) Anionic surfactant; 3) a hydrophobic group with from 15 to 30 carbons	An aqueous conditioning gel network having no overall charge or is anionic, the gel network comprising:	1) A cleaning anionic surfactant; 2) an aqueous conditioning gel network	A hair care composition particularly shampoo comprising dendritic macromolecules with anionic surfactants and network	MURRAY ANDREW; MURRAY ANDREW MALCOLM; UNILEVER HINDUSTAN; PHAN THUY ANH; UNILEVER PLC	26/3/2010	14/2/2013	A61K8/34; A61K8/36; A61K8/37; A61K8/40; A61K8/41; A61K8/42; A61K8/44; A61K8/46	1				
US2018000705A1	Shampoo Compositions Comprising a Cation	Described herein is a shampoo composition and methods of using the same, the shampoo	1. A shampoo composition comprising: a) from about 0.005% to about 5% of one or more	Hair care	Composition/ method of use/M&T	1) Fatty alcohol; 2) Surfactant (Claim 13)	The shampoo composition of claim 1, further comprising a gel network, wherein the gel network comprises a fatty alcohol and a surfactant (Claim 13)	1) A copper chelant; (0.005% to about 5% MW 75-400, 100-350, 175-325, 180-500 g/mol)	Improved shampoo compositions which inhibit copper depositing and removal from hair	PROCTER AND GAMBLE	MARSH, Jennifer Mary Kelly; CASEY, Patrick J; SRIK, Mark Robert	30/6/2016	4/1/2018	A61K8/41; A61K8/44; A61K8/44; A61K8/02; A61K8/09	1			
EP296021A1	Hair care compositions comprising polyelectrolyte complex for durable benefits	Described herein is an aqueous hair care composition capable of providing durable non-stripping hair	1. An aqueous hair care composition comprising a polyelectrolyte complex having a gel matrix structure or a mixture of gel matrix and microgel formed by electrostatic attraction	Hair care	Composition/Method of use/ process of preparation	1) Anionic polymer (A); 0.01 wt. % to about 20 wt. % with molecular weight (1,000,000-1,000,000)	Aqueous hair care composition comprising a polyelectrolyte complex having a gel matrix structure or a mixture of gel matrix and microgel formed by electrostatic attraction	1) Mixture of gel matrix (Avg particle size more than 15 microm) and microgel (Avg particle size	ISP INVESTMENT	ZHOU, Yan; RIGOLETTO, Raymond R.; FOLTS, Linda C.; DU, Xin; COLACO, Alwyn	15/3/2013	10/8/2016	A61K8/02; A61K8/04; A61K8/04; A61K8/02; A61K8/06; A61K8/12	1				

## C. Search results

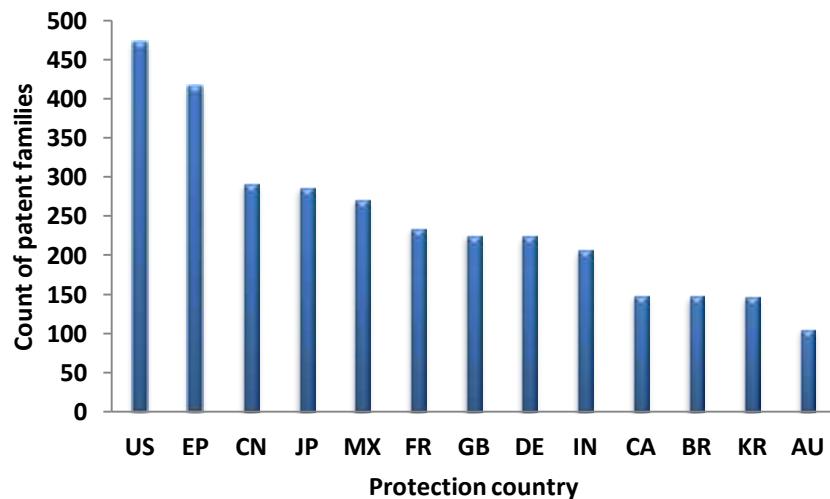
### How are the technology trends?



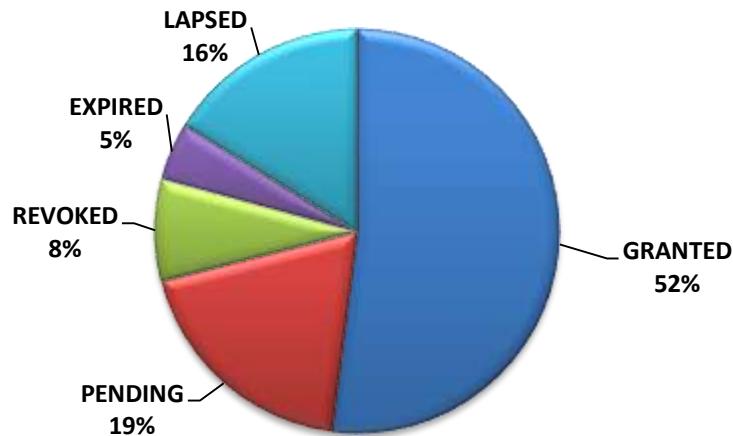
### Who are the top players in the market?



### What are the leading markets to the formulation?



### What is the legal status of patents in area?

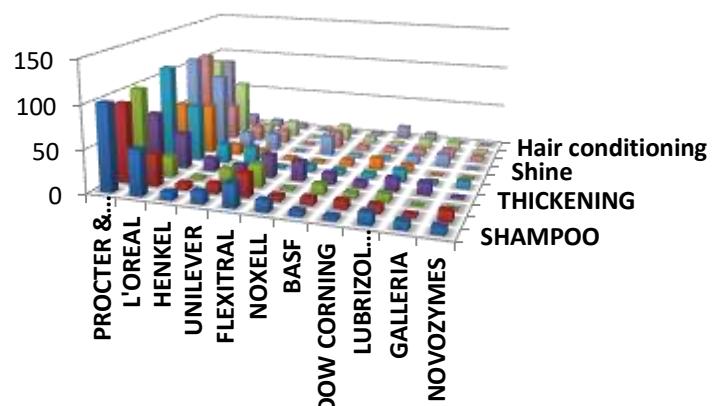


## C. Search results

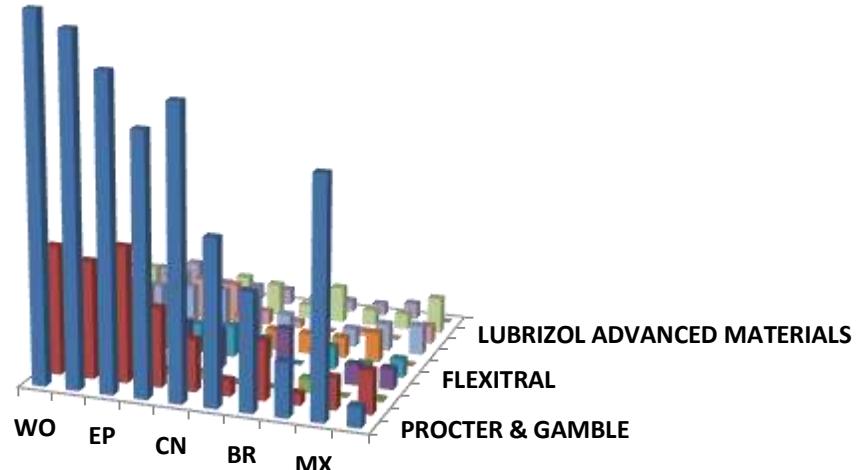
What are the primary technologies and secondary technologies?



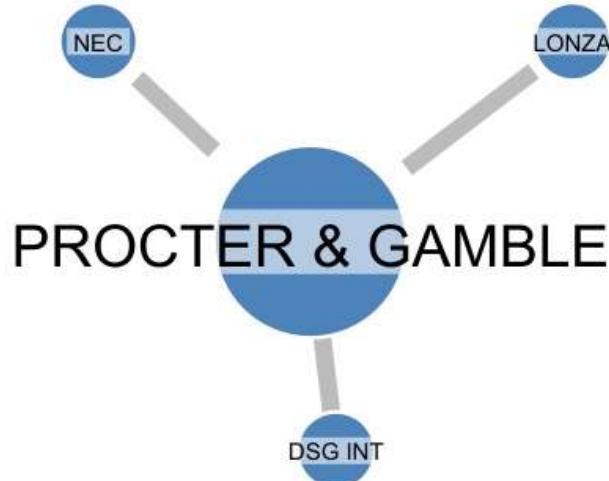
What are the technologies in which top companies are focussing on?



Which markets are favoured by top players?



Is there any assignee collaboration?



# Freedom to operate (FTO): Insights

## What is it?

Right to use or clearance search, “clearance” to make, use, and sell an inventive concept.

## Insights obtained from it?

Legal liabilities of product to be commercialized, idea about infringement while making, using, or selling a product or process, options for design around/wait until expiration of patent/choose different jurisdiction/purchase/ licensing it

## Whom will be benefited?

Researchers and innovators  
Corporates and business developers

## How to perform it?

Background study, searching for relevant patent, claims study, mapping of relevant portion, opinion and recommendations

**Filtering parameters:** Granted active patents, jurisdiction specific

## Importance:

For identifying if the product or proposed product violates already existing rights

Clarifies as to which countries possibly rights apply

Saves on cost as unnecessary investments in production and marketing can be avoided

A

Competitor

FTO: Yes

B

Competitor

You

FTO: Partial

C

You

Competitor

FTO: Partial

D

Competitor

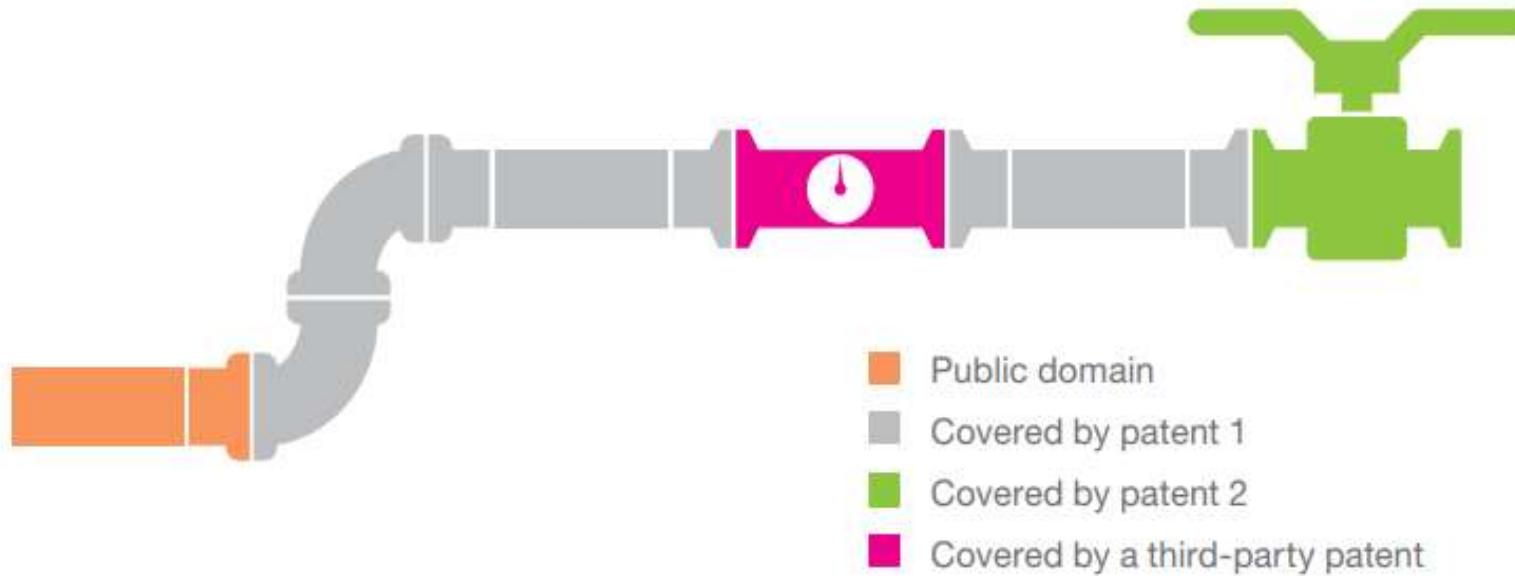
You

FTO: No

# Understanding About FTO

Product A of 7 Sub-components

A Startup wants to produce and sell product A



## Strategies for entry of product A in market:

- To remove the protected valve from the final product
- To adopt a different design (invent around/ design around) that avoids using the Patented valve.
- To buy the Patent or secure a license from the Patent owner to use the valve technology.
- To challenge the validity of the Patent



Tech Transfer Hub at Venture Center  
Supported by NBM - BIRAC

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**Proprietary  
databases  
for prior art  
searching  
and analytics**

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## NEW SERVICE

Access to paid databases

1

**SciFinder**

3

**Derwent  
Innovation**

# Analytics services provided by TechEx.in

**TechEx.in**  
Tech Transfer Hub at Venture Center

TECHNOLOGY TRANSFER HUB

OPERATED BY

 VENTURE CENTER  
VENTURE CENTER, PUNE.

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 **nbm**  
NATIONAL BIOPHARMA MISSION  
Ministry of Science and Technology (MoST) GOI

 **birac**  
Bharat Infratech Institute of Research, Innovation and Consultancy

THE NATIONAL BIOPHARMA MISSION (GOI)

TECHEX.IN aims to

- Help technology developers and technology commercialisation entities find each others,
- Forge partnerships
- Advance the technology closer to the market in a win-win partnership.

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Visit us at: [www.Techex.in](http://www.Techex.in)

INFORMATION SEARCH AND ANALYTICS DESK

SCIENTIFIC AND PATENT LITERATURE SEARCH AT VENTURE CENTER LIBRARY

DO IT YOURSELF (DIY)

- FOR START-UPS/ FELLOWS IN VENTURE CENTER'S INCUBATION PROGRAMS (RESIDENT PROGRAMMES, AIP, EKLAVYA)
- ONE TIME TRAINING FROM VENTURE CENTER'S SEARCH EXPERT STAFF

EXPERT SEARCH

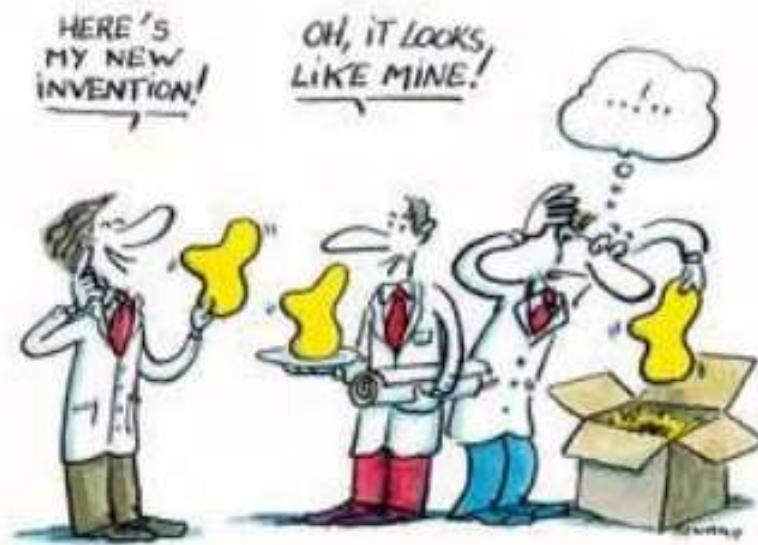
- EXPERT RUN SEARCH
- EXPORTATION AND SHORTLISTING OF RELEVANT RESULT SET
- COMPILATION OF REPORT

ANALYTICS DESK

- STATE OF ART SEARCH
- PATENTABILITY ASSESSMENT
- PATENT LANDSCAPE
- WHITE SPACE ANALYSIS
- FREEDOM TO OPERATE
- INFRINGEMENT ASSESSMENT
- CITATION ANALYSIS

TECHEX.IN SUBSCRIBED TO: SCIFINDER, DERWENT INNOVATION, QUESTEL ORBIT

## Failing to conduct prior art search

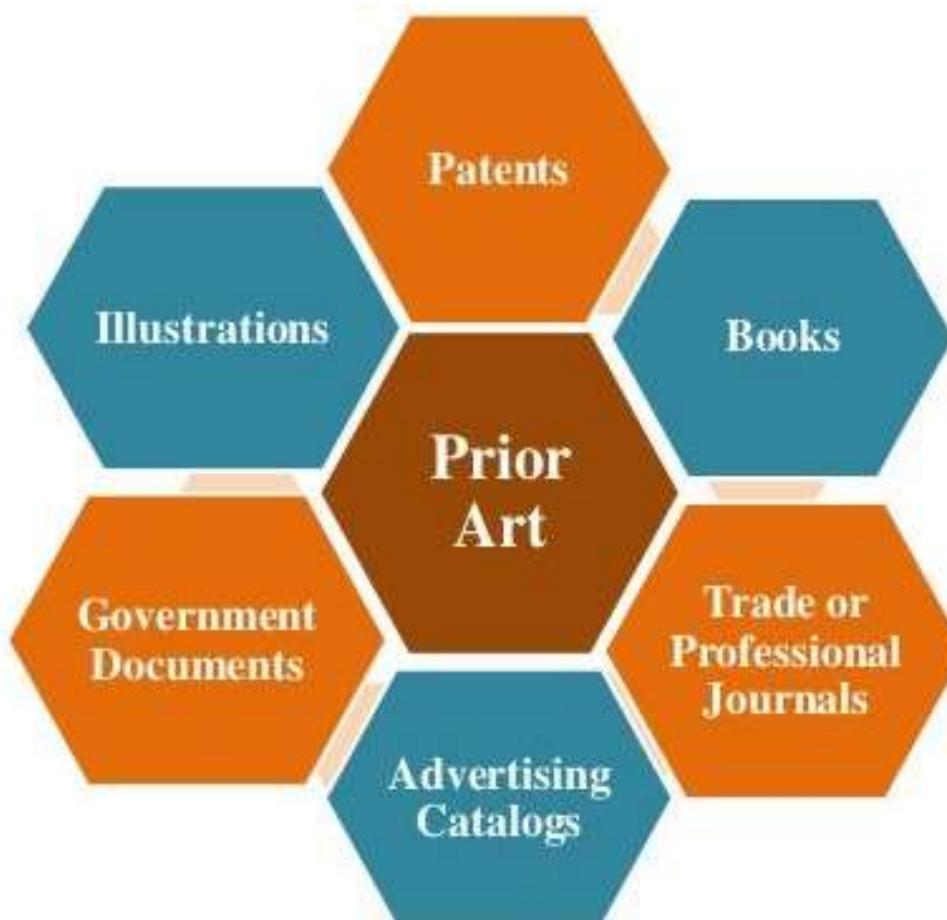


# Thank You

# Forms of prior art

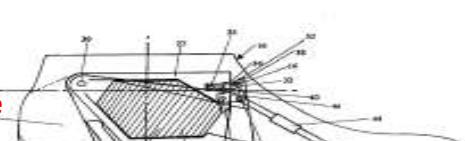
- **What is prior art?**

Information available prior to the effective date i.e. filing date of the any IP



# Anatomy of patent document

## Typical patent document

(12) <b>United States Patent</b> Angelsson et al.		(10) <b>Patent No.:</b> <b>US 8,177,855 B2</b> (11) <b>Date of Patent:</b> <b>May 15, 2012</b>
<b>Title of invention</b>		
<p>(54) <b>PROSTHETIC FOOT</b>            (75) <b>Inventor name</b>  <b>Signature Angelsson, Carl-Gustaf (DK), Gothenburg, Sweden</b>  <b>Gothenburg, Sweden</b>            (60) <b>Appl. Data</b>  <b>Priority to my disclosure, the term of this</b>  <b>patent is extended or advanced under 35</b>  <b>U.S.C. 154(e)(1) by 6 months</b>            (21) <b>Appl. No.:</b> <b>14/294,748</b>            (22) <b>Filed:</b> <b>Oct. 1, 2011</b>            (37) <b>Priority Publication Date:</b>  <b>US 201002950252 A1</b> <b>Jan. 29, 2009</b></p>		4,032,286-A <b>3/19/87</b> <b>Extended</b> 4,037,318-A <b>3/24/87</b> <b>Priority</b> 5,005,365-A <b>3/23/95</b> <b>Priority</b> 5,116,463-A <b>5/26/92</b> <b>Extended</b> 5,276,431-A <b>3/1/94</b> <b>Extended</b> 5,623,822-A <b>3/18/98</b> <b>Extended</b> 5,623,823-A <b>3/18/98</b> <b>Extended</b> 5,623,824-A <b>3/18/98</b> <b>Extended</b> 5,623,825-A <b>3/18/98</b> <b>Extended</b> 5,623,826-A <b>3/18/98</b> <b>Extended</b> 5,623,827-A <b>3/18/98</b> <b>Extended</b> 5,623,828-A <b>3/18/98</b> <b>Extended</b> 5,623,829-A <b>3/18/98</b> <b>Extended</b> 5,623,830-A <b>3/18/98</b> <b>Extended</b> 6,231,324-B1 <b>7/23/01</b> <b>Extended</b> (Continued)
<b>Application date and no.</b>		<b>Patent num.</b>
<b>Publication date and no.</b> <b>2009-01-29</b> <b>US 201002950252 A1</b>		
<b>Priority</b> <b>Priority to my disclosure, the term of this</b> <b>patent is extended or advanced under 35</b> <b>U.S.C. 154(e)(1) by 6 months</b>		
<b>OTHER PUBLICATIONS</b> <b>European Disclosure Search Report issued in entered European</b> <b>application No. 107 918 146-6, Apr. 24, 2010</b>		
<b>Priority &amp; Examiner:</b> <b>Henrik E. Sture</b> <b>CITA:</b> <b>Prosthetic devices, or</b> <b>Orthosis &amp; Prosthetic Devices</b> <b>PL 147</b> <b>571</b> <b>ABSTRACT</b> <b>571</b> <b>A prosthesis of the knee joint, having a first, trackable, morphable</b> <b>571</b> <b>and a second, immobile, articulated, mobile, knee joint, an</b> <b>571</b> <b>element having a first surface, a second knee element is</b> <b>571</b> <b>coupled to the first element and has a second surface, a third</b> <b>571</b> <b>element having a portion extending past the second</b> <b>571</b> <b>end of the first element, a fourth element enclosing the components</b> <b>571</b> <b>of the prosthesis, the fourth element has a first surface, a second</b> <b>571</b> <b>end of the second knee element may be provided.</b> <b>571</b> <b>The third knee element may have a higher stiffness than the</b> <b>571</b> <b>first and second knee elements.</b>		
<b>19 Claims, 2 Drawing Sheets</b>		
	Europäisches Patentamt European Patent Office Office européen des brevets	(11)  EP 0 893 111 A1
(12) <b>Publication date</b>		<b>Patent number</b>
(43) Date of publication: 27.01.1999 Bulletin 1999/04		(51) Int. Cl. <b>A61F 2/66</b>
(21) Application number: 97305616.1		<b>Application date and no.</b>
(22) Date of filing: 25.07.1997		<b>IPC</b>
<p>(84) Designated Contracting States: AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE</p> <p>(71) Applicant: May, Denis Ronald William Esher, Surrey KT10 8QG (GB)</p> <p><b>Applicant name</b></p>		
<p>(72) Inventor: May, Denis Ronald William Esher, Surrey KT10 8QG (GB)</p> <p>(73) Representative: Spencer, Michael David, Dr. et al Bromhead &amp; Co., 19 Buckingham Street London WC2N 6EF (GB)</p> <p><b>Inventor name</b></p>		
<p>(54) <b>A prosthetic foot</b></p> <p>(57) A prosthetic foot (10) comprising a movable heel mechanism (12), an energy storing means (14) actuated on/ by the movable heel mechanism (12) to store energy, and a control device (16) attached to the energy storage means (14) and to a release device which enables the stored energy to be released to provide a lift-off force in push-off.</p> <p><b>Abstract</b></p> 		

## Description

**Description**

The present invention relates to a prosthetic foot for use by amputees.

The design of such a prosthetic foot including an ankle presents some of the most difficult problems in the field of prosthetics from the engineering point of view.

metres per degree at 7°, rising through 6 newton metres per degree at 9°, to a maximum torque of about 40 newton metres in excess of 12° of movement. Inversion/eversion of the foot is often omitted in ankle designs, but when this is incorporated an angular movement of about  $\pm 18^\circ$  is desirable. Again, with a stiffness of about 1.2 newton metres per degree, resulting in a torque cutout of  $\pm 20$  newton metres.

## Claims

## Claims

1. A prosthetic foot comprising a movable heel mech-

55 13. A prosthetic foot substantially as described herein with reference to and as shown in Figures 4 and 5 of the accompanying drawings.

# Search by keywords: Proximity operators

## Prox/distance<n, NEAR

- Used with a numerical (after NEAR/Prox/distance to define the maximum distance between the search terms)
- E.g. mouse prox/distance<3 trap

the mousetrap is placed at a place where a mouse often runs out, bait for trapping the mouse is placed in the trap body, when the mouse treads on the other side of the seesaw, the seesaw rotates to incline towards the inner side of the trap body, the mouse enters the trap body, and

## Prox/distance<n/ordered

- Used with a numerical to define the maximum distance between the search terms in ordered manner
- E.g. mouse prox/distance<3 /ordered trap

the mouse trapping device and the mouse blocking and trapping plate, the defect that a traditional mouse blocking plate can only block a mouse and cannot trap the mouse is overcome, and the mouse blocking and trapping plate integrates the mouse blocking function and the mouse trapping function.

## Prox/unit=paragraph

- Identifies terms in the same paragraph
- E.g. mouse prox/unit=paragraph trap

The invention provides a mouse trap with an adhesive. A layer of powerful adhesive pad is arranged on a trap plate of the mouse trap, so that a mouse is stuck and cannot move when getting close to and stepping on the powerful adhesive pad. The powerful adhesive pad can be continuously replaced for use after a user handles the stuck mouse, so that the mouse trap is very convenient.

## Prox/unit=sentence

- Identifies terms in the same paragraph
- E.g. mouse prox/unit=sentence trap

A mouse trap. The mouse trap has a tank, a glass tank sited in the tank, a cylinder mounted on the tank, an inclined tray pivotally mounted in the cylinder, a spring linking the cylinder to the inclined tray for biasing the inclined door to seal the cylinder, a door rotatably mounted in the

# Search by keywords: Truncations

Also called as wild card operators, stemming, is a technique that broadens your search to include various word endings and spellings (i.e. shortened to their primary root or stem, by reducing its length)

## question mark (?)

- stands for no characters or one character
- E.g.: Penetrat?

3. **Penetrat sand mechanism**  
CN206065343U • 2017-04-05 • SUZHOU SUZHU FOUNDRY MACHINERY MFT CO LTD  
**Earliest priority: 2016-08-24 • Earliest publication: 2017-04-05**  
... The utility model provides a **penetrat** sand mechanism. It makes penetrates quick the flow to the entry position of penetrating... board, **penetrat** the lid adorn in **penetrat** the exit end of sand hopper, **penetrat** and has arranged on the board... board, the ring connecting plate pass through screw.

4. **Penetrat a floating Installation**  
CN207772268U • 2018-08-28 • EVERFINEST PREC MACHINERY SHENZHEN CO LTD  
**Earliest priority: 2018-01-10 • Earliest publication: 2018-08-28**  
The utility model is suitable for an injection molding machine field provides a **penetrat** a floating

## Asterisk (\*)

- Stands for a string of characters of any length
- E.g.: Penetrat\*

... The invention relates to a **penetrator** (10) and to a sub-caliber ammunition or projectile (2) accommodating said **penetrator** (10). The **penetrator** (10) according to the invention is characterized by the fact that the **penetrator** has an interface (14) in... be provided having different **penetrator** tips (15, 16, 17) and completed to form an individual KE **penetrator** (10). ...

5. **Sabot projectile comprising a penetrator**  
EP1209437A1 (B1) • 2002-05-29 • CONTRAVES PYROTEC AG [CH]  
**Earliest priority: 2000-11-23 • Earliest publication: 2002-01-17**  
The cartridge case projectile, comprises a cartridge case (12) and a shattering **penetrator** (14) arranged in the cartridge case. Shattering **penetrator** has a **penetrating** casing, which can be broken into at least two casing sections upon impact of the shattering **penetrator** at predetermined positions on the casing. Central conduit is arranged in the **penetrator**, and the plastic material forming the ...

## Hash sign (#)

- stands for exactly one character
- Eg. Penetrat#

4. **Penetrat a floating Installation**  
CN207772268U • 2018-08-28 • EVERFINEST PREC MACHINERY SHENZHE...  
**Earliest priority: 2018-01-10 • Earliest publication: 2018-08-28**  
The utility model is suitable for an injection molding machine field provides a **penetrat** a floating installation, including injecting the unit, **penetrat** a drive mechanism and **penetrat** a base, **penetrat** a drive mechanism for penetrating a base can install with controlling the

5. **Penetrat crossbow structure**  
CN206695682U • 2017-12-01 • UNIV QIJING NORMAL  
**Earliest priority: 2017-04-10 • Earliest publication: 2017-12-01**  
... The utility model relates to a **penetrat** crossbow structure, including penetrating the crossbow handle and putting arrow portion, the head..., the utility model discloses a

# National biodiversity act

- **“biological resources” under section 2(c) NBA act:** “plants, animals and micro-organisms or parts of, their genetic material and by-products (excluding value-added products) with actual or potential use or value, but does not include human genetic material”
- **Objectives of NBA act:**
  - the conservation of biodiversity of India,
  - sustainable use of its components, and
  - fair and equitable sharing of benefits arising out of utilization of biological resources, knowledge and for matters connected with or incidental to these factors
- Requirements under Indian Patents Act and Patent Rules (2003)  
section 10: Form 1: details of the source and geographical origin of biological material in the patent application, along with a declaration regarding the permission required from the competent authority in respect of the biological material used

# Differences between paid databases and free databases

	Free databases	Paid databases
Combining multiple search strategies	Not available	Available
Advance visualisation tools	Not available	Quick, easy-to-read bar charts allowing summarization of key bibliographic data
Limit search to patent families	Not available	Available
Citation search	Not available	Available
Coverage of patents by jurisdiction	Limited coverage	Huge coverage
Title, abstract, description, technical advancement illustrations using clear, concise, industry-specific terms	Not available	Available
Alerts	Not available	Available
Saving of result sets	Not available	Available for review for future reference
Extensive hyperlinking to a variety of related information	Not available	Available e.g. commercial sources, cited NPL
Family legal status information	Not available	Available, updated on regular basis